

**Prior Authorizations** 

2020 IHCP Works
Annual Seminar



# Agenda

- Prior Authorization Services
- Self-Referral Services
- Submission of Prior Authorization Requests
- Provider Portal and Authorizations
- Provider Portal Enhancements
- Timeframes
- Retro Authorizations
- NIA Magellan
- Dental Authorizations
- Sterilization/Hysterectomy
- Newborn Processes
- Appeal Process
- Important Reminders
- How to Contact Us



# **Prior Authorization Services**

All Inpatient Services	All Inpatient Rehabilitative Service
Applied Behavior Analysis therapy services (ABA)	All Inpatient Behavioral Health admissions
Transcranial Magnetic Stimulation	Intensive Outpatient Program Services
Advanced Life Support (within 72 hours of the date of service)	Ambulance Transport – non-emergent
Genetic Testing	Hearing Aids
Home Health Care Services	Prosthetic and Orthotic devices >\$1200
Skilled Nursing Facility Services	Durable Medical Equipment >\$500
All powered or customized wheelchairs and supplies	All DME miscellaneous codes (example: E1399)

# **Prior Authorization Services**

Pain Management Services  >Facets >Epidurals >Facets Neurotomy >SI Joints	Outpatient Services:  >Cosmetic/Plastic/Reconstructive Procedures >Spinal Cord Stimulators >Implantable Pain Pumps
Organ Transplants	Partial Hospitalization Program (PHP)
Residential services	Services beyond benefit limits for members 20 years of age and under
Gender Dysphoria Surgeries	Any surgery or procedures that are potentially cosmetic or investigational will require a prior authorization

### Self-Referral Services

CareSource includes self-referral health partners in our network. For both Hoosier Healthwise (HHW) and Healthy Indiana Plan (HIP), members may self-refer to Indiana Health Coverage Programs (IHCP) active providers for the services eligible for self-referral.

#### **HHW Members**

May receive self-referral services from Indiana Health Coverage Programs (IHCP) enrolled self-referral health partners who are not in the CareSource network.

CareSource reimburses self-referral services up to the applicable benefit limits and at IHCP Fee For Service (FFS) rates.

#### **HIP Members**

Must go to an in-network health partner; **OR** receive PA from CareSource to go to an out-of-network health partner.

**Exceptions**: Family planning & emergency services

CareSource reimburses self-referral services up to the applicable benefit limits and at a rate not less than the Medicare rate, or at 130% of Medicaid if no Medicare rate is available.

### Self-Referral Services

The following services are eligible for self-referral:

- Psychiatric services
- Family planning services

The following services are eligible for self-referral, but may only be provided to members receiving services through Hoosier Healthwise, HIP State Plan Basic/Plus and HIP Plus OR while receiving the additional HIP pregnancy-only benefits:

- Chiropractic services
- Eye care services, except surgical services
- Routine dental services
- Podiatry services

The Indiana Administrative Code 405 IAC 5 (Hoosier Healthwise) and 405 IAC 9-7 (Healthy Indiana Plan) provide further detail.

# How to Submit PA Requests

**Email** inmedmgt@caresource.com **Phone** 1-844-607-2831 Fax Fax the prior authorization form to 844-432-8924 including supporting clinical documentation. The prior authorization request form can be found on CareSource.com. CareSource Attn: IN Utilization Management P.O. Box 44493 Indianapolis, IN 46244 **Provider Portal** Cite Auto Authorization



### **Prior Authorization Form**

P: 800-291-4140 F: 800-747-3693

P: 844-607-2831 F: 844-432-8924

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Date of Birth:				Taxonomy:				
Patient Name:				Tax ID:				
Address:				Provider Name:				
City/State/ZIP Cod	le:			Rende	ring Provid	er Infor	mation	ı
Patient/Guardian I	Phone:			Rendering Provider !	NPI/Provider	ID:		
PMP Name:				Tax ID:				
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Indiana Health Coverage Programs

Prior Authorization Request Form

Anthem Hoosier Healthwise - SFHN

□ CareSource Hoosier Healthwise

the entity that

must authorize

Hoosier Healthwise

the service.

For prior authorization requests, please use the IHCP Prior Authorization Request Form.

It is located on the Forms page on **CareSource.com**:

- Hover over the Providers tab and click on Forms.
- Select your plan (Indiana Medicaid) in the dropdown menu.

### Provider Portal & Prior Auths

# Prior authorizations can be requested through the provider portal.

- Select Provider Authorizations and Notifications
  - Enter CareSource ID and Start Date of Service and select Search. Note: Member Eligibility is directly affected by date of service
  - Select Care Setting, Category and type of Prior Authorization
  - Enter provider information. Use dropdown to search by Provider Name,
     NPI or Caresource Provider Number
  - Complete required fields and hit "Continue"
  - Select "Document Clinical" to continue
  - Click "Add" to choose Guideline of Service
  - Answer Guideline questions, hit Save and Submit Request



### **Prior Authorization List**

- Located on our Provider portal
  - Quick Reference Guide



### Provider Portal Enhancements

# You can now submit requests to update your authorization requests in the provider portal.

- Add Additional documentation or change dates of service.
- Tip Sheet is located on the provider portal
- Under the Prior Authorization & Notifications you will also find links to step-by-step guides, FAQ's and everything you need to know to get the fastest response to your authorization request.



### **Prior Authorization Timeframes**

Authorization Type	Decision
Standard pre-service	7 calendar days
Urgent pre-service	72 hours
Urgent concurrent	24 hours
Post service (retrospective review)	30 calendar days

To check the status of a prior authorization request, call 1-844-607-2831 or to go through the provider portal.



# Prior/Retro Authorization For Ancillary Providers

In order for ancillary services requiring prior authorization to be approved, the services must be either authorized (specifically approving the ancillary services) or the primary service must be authorized.

#### **Ancillary Provider Types**

Radiology

Anesthesiology

Pathology

Hospitalist services

Labs

Other professional services performed in an inpatient or outpatient setting.



# Retrospective Authorizations For Advanced Life Support

As of November 15, 2019, Advanced Life Support (ALS) ambulance trips are required to have a retrospective authorization for services.

- Authorization requests must be submitted within 7 days after the date of trip.
- Failure to submit a retrospective authorization for ALS services may result in a claim denial.



# NIA Magellan

CareSource partners with NIA Magellan to implement a radiology benefit management program for outpatient advanced imaging services.

Procedures requiring prior authorization through NIA Magellan:	Services NOT requiring prior authorization through NIA Magellan:	NIA Magellan authorization phone number:
<ul> <li>CT/CTA</li> <li>MRI/MRA</li> <li>PET Scans</li> <li>Myocardial Perfusion Imaging (MPI)</li> <li>MUGA Scan</li> <li>Echocardiography</li> <li>Stress Echocardiography</li> </ul>	<ul> <li>Inpatient advanced imaging services</li> <li>Observation setting advanced imaging services</li> <li>Emergency room imaging services</li> </ul>	• 1-800-424-4883

- How to submit
  - <a href="https://www1.radmd.com/radmd-home.aspx">https://www1.radmd.com/radmd-home.aspx</a> or
  - 1-800-424-4883

**Note:** Imaging procedures performed during an inpatient admission, hospital observation stay or emergency room visit are not included in this program.

### Dental Authorizations

CareSource partners with Scion Dental to administer dental benefits. Dental authorization requests may be submitted via paper or online.

#### **ONLINE:**

Participating providers may contact the web portal team at ProviderPortal@scion.com to register for the Scion Provider Web Portal and request a demonstration.

Some of the time-saving features of the Dental Provider Web Portal include:

- View member service history, covered benefits and fee schedules.
- Create a member eligibility calendar and view real-time eligibility for multiple members.
- View authorization guidelines and required documentation prior to submitting authorizations.
- Submit authorizations with attachments for faster determinations.

#### PAPER:

Paper dental authorization requests may be sent to:

CareSource IN: Authorizations

P.O. Box 745

Milwaukee, WI, 53201

Please reference our Dental Health Partner Manual for a list for services that require prior authorization.

### **Sterilizations**

- Sterilization renders a person unable to reproduce.
- Sterilizations are reimbursable for men and women only when a valid consent form accompanies all claims connected.
- Providers must allow at **least 30 days** and **no more than 180 days** to pass between the date the member gives the informed consent and the date when the provider performs the sterilization procedure.
- For sterilizations planned concurrent with delivery, the patient must give the informed consent at least 30 days before the expected date of delivery.
- The individual must meet the following requirements:
  - Has voluntarily given informed consent
  - Is 21 years old or older at the time the consent is given.
  - Is neither mentally incompetent nor institutionalized.

# Consent for Sterilization Form

	Form Approved: OMB No. 0937-0166
	Expiration date: 4/30/2022
	STERILIZATION
NOTICE: YOUR DECISION AT ANY TIME NOT TO BE STERILIZ OF ANY BENEFITS PROVIDED BY PROGRAMS OR P	ED WILL NOT RESULT IN THE WITHDRAWAL OR WITHHOLDING ROJECTS RECEIVING FEDERAL FUNDS.
■ CONSENT TO STERILIZATION ■	■ STATEMENT OF PERSON OBTAINING CONSENT ■
I have asked for and received information about sterilization from	Before signed the
. When I first asked	Name of Individual
Doctor or Clinic	consent form, I explained to him/her the nature of sterilization operation
or the Information, I was told that the decision to be sterilized is com- pletely up to me. I was told that I could decide not to be sterilized. If I de-	Specify Type of Operation , the fact that it is
cide not to be sterilized, my decision will not affect my right to future care	Intended to be a final and irreversible procedure and the discomforts, risks
or treatment. I will not lose any help or benefits from programs receiving	and benefits associated with it.
Federal funds, such as Temporary Assistance for Needy Families (TANF) or Medicald that I am now getting or for which I may become eligible.	I counseled the individual to be sterilized that alternative methods of birth control are available which are temporary. I explained that steriliza-
I UNDERSTAND THAT THE STERILIZATION MUST BE CONSIDERED	tion is different because it is permanent. I informed the individual to be
PERMANENT AND NOT REVERSIBLE. I HAVE DECIDED THAT I DO NOT WANT TO BECOME PREGNANT, BEAR CHILDREN OR FATHER	sterilized that his/her consent can be withdrawn at any time and that
CHILDREN.	he/she will not lose any health services or any benefits provided by
I was told about those temporary methods of birth control that are	To the best of my knowledge and belief the individual to be sterilized is
available and could be provided to me which will allow me to bear or father a child in the future. I have relected these alternatives and chosen to be	at least 21 years old and appears mentally competent. He/She knowingly and voluntarily requested to be sterilized and appears to understand the
sterilized.	and voluntarily requested to be sterlized and appears to understand the nature and consequences of the procedure.
I understand that I will be sterilized by an operation known as a	DHAN
. The discomforts, risks	Signature of Person Obtaining Consent Date
Specify Type of Operation and benefits associated with the operation have been explained to me. All	
my questions have been answered to my satisfaction.	Facility
I understand that the operation will not be done until at least 30 days after I sign this form. I understand that I can change my mind at any time	
and that my decision at any time not to be sterilized will not result in the	Address  ■ PHYSICIAN'S STATEMENT ■
withholding of any benefits or medical services provided by federally	Shortly before I performed a sterilization operation upon
funded programs. I am at least 21 years of age and was born on:	on on
Date	Name of Individual Date of Sterilization
i, hereby consent of my own	I explained to him/her the nature of the sterilization operation
free will to be sterilized by	, the fact that it is
Doctor or Clinic	Specify Type of Operation
by a method called Specify Type of Operation . My	intended to be a final and irreversible procedure and the discomforts, risks and benefits associated with it.
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consent expires 180 days from the date of my signature below.  I also consent to the release of this form and other medical records	I counseled the individual to be sterilized that alternative methods of birth control are available which are temporary. I explained that steriliza-
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consent expires 180 days from the date of my signature below.  I also consent to the release of this form and other medical records about the operation to:  Representatives of the Department of Health and Human Services.	I counseled the individual to be sterilized that alternative methods of birth control are available which are temporary. I explained that sterilization is different because it is permanent.  I informed the individual to be sterilized that his/her consent can be withdrawn at any time and that hei/she will not lose any health services.
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consent expires 180 days from the date of my signature below.  I also consent to the release of this form and other medical records about the operation to:  Representatives of the Department of Health and Human Services, or Employees of programs or projects funded by the Department but only for determining If Federal laws were observed.  I have received a copy of this form.  Signature  You are requested to supply the following information, but it is not required: (Ethnicity: And Face Designation) (pilease check)  Race (mark one or mole):  Not Hispanic or Latino   Asian American   Asian Arian American   Asian Am	I counseled the individual to be sterilized that alternative methods of orth control are available without are temporary. I explained that sterilization is different because it is permanent.  I informed the individual to be sterilized that his/her consent can be withdrawn at any time and that he/she will not lose any health services or beents provided by Federal funds.  To the best of my knowledge and belief the individual to be sterilized is at least 21 years of and any pears mentally competent. He/she knowingly and voluntarily requested to be sterilized and appeared to understand the nature and consequences of the procedure. (Instructions for use of atternative final paragraph: Use the first paragraph elsew except in the case of prenature delivery or emergency after the date of the individual's signature on the consent form, in those cases, the second paragraph below must be used. Cross out the paragraph which is not used.)  (1) At least 30 days have passed between the date of the individual's signature on this consent form and the date the sterilization was performed.
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Please reference the following Family Planning Services Provider Reference Module on the IHCP Provider website for information on how to complete the consent sterilization form if you have any questions.

- Please note that all lines need to be signed and dated.
- Complete facility address, complete rendering provider address, including all ZIP Codes
- If it's not 100% complete it will not be valid.

### Patient Sterilization

- A sterilization consent form is not necessary when a provider renders a patient sterile as a result of an illness or injury.
  - The physician must attach a certification to the claim indicating that the sterilization procedure occurred due to an illness or injury when prior acknowledgement was not possible.
- A sterilization consent form is not required when ONLY a partial sterilization is performed. Providers <u>must note</u> "Partial Sterilization" on the claim form, on the line below the CPT or HCPCS procedure code. For electronic claims, a claim note may be used.



# Hysterectomy

- IHCP covers medically necessary hysterectomies performed to treat an illness or injury.
- Only covers when medically necessary and only when the member has given informed consent.
- Does not cover hysterectomies performed solely to render a member permanently incapable of bearing children whether performed as a primary or secondary procedure.
- Providers <u>cannot</u> use the **Consent for Sterilization** form for hysterectomy procedures.
- Form must be submitted with claim to be considered for payment.

# Acknowledgement of Receipt

Acknowledgement of Receipt of	Hysterectomy Information
Member Name:	
IHCP Member ID:	
Physician Name:	
NPI or IHCP Provider ID:	
AMA Education Number:	
It has been explained orally and in writing to that the hysterectomy to be performed on her will rende children.	er her permanently incapable of bearing
☐ Signed before surgery	
☐ Signed after surgery (at the time of the hysterecton	ny, eligibility was not established).
(Member or Representative Signature)	(Date)
Physician Sta	ntement
The hysterectomy in the above case is being done for m sterilization is incidental and is not, at any time ever, the	
Diagnosis(ses)	
(Physician Signature)	(Date)

### Newborns/NICU Process

- CareSource does NOT require newborn notification.
- Deliveries do not require authorization unless inpatient stay exceeds mandate of 3 days for vaginal delivery and 5 days for C-section OR if the mother is discharged and newborn remains inpatient.
  - In the case of eligibility changing while baby is inpatient, providers have 60 days after date of change of eligibility status to request a retro-authorization for services rendered while patient was inpatient.
  - All information showing change of eligibility must accompany the request for retro-authorization and copy of the Retro-Authorization must be submitted with claim.

# Expedited Appeals

- Call us at 1-855-202-1058 to expedite a clinical appeal.
- Expedited appeals will be resolved and verbal notification will be made within 48 hours
- CareSource will decide whether to expedite an appeal within 24 hours.

# Provider Clinical/Claim Appeal Form



#### Provider Clinical/Claim Appeal Form

Please note the following to avoid delays in processing clinical/claim appeals:				
Include supporting documentation • Inco	mplete submission will b	e returned for additional in	formation • Applicable timely filing limits apply	
Please indicate the following patier	nt information:			
Member Name		Date of Service		
Member ID Number		Code/Service Not Covered		
		Place of Service		
Please indicate the following provi	der information:			
Provider Name		CareSource Provider ID		
Provider NPI Number		Claim Number		
Provider Telephone Number ()		Requestor Name		
Select the most appropriate appeal	type:	Include required d	ocumentation:	
☐ Claim Appeal — An adverse decision regarding payment for a submitted daim or a denied daim for services rendered to a CareSource member.		Appeal form     Supporting documentation     Original remittance advice		
		The provider/facility rend to file a claim appeal.	ering services has 365 days from the date of service	
□ Clinical Appeal — A request to review a determination not to certify an admission, extension or stay, or other health care service conducted by a peer review who was not involved in any previous adverse determination /non-certification decision pertaining to the same episode or care.		Appeal form     Records supporting medical necessity     Original remittance advice  The provider/facility rendering service has 180 days from the date of service to file a clinical appeal.		
Corrected Claim — Any correction of the date of service, procedure/diagnosis code, incorrect unit count, location code and/or modifier to a previously processed claim.  Resubmit the entire claim with updated information as a Corrected Claim. If you disagree with the amount paid on a claim line, you will need to submit an appeal.		Please send Corrected Claims to:  CareSource ATTN: Claims Dept. P.O. Box 3807 Dayton, OH 45401-3607		
Reason for appeal request:				
Mail or fax all information to:				
Claim Appeals Department P.O. Box 2008 Dayton, OH 45401-2008	Clinical Appeals Depa P.O. Box 1947 Dayton, OH 45401-19		Provider Claim Appeals Coordinator Fax Number: 937-531-2398	



# Provider Clinical/Claim Appeal Form



#### Provider Clinical/Claim Appeal Form

Please note the following to avoid delays in processing clinical/claim appeals:				
Include supporting documentation • Inco	omplete submission will b	e returned for additional in	formation • Applicable timely filing limits apply	
Please indicate the following patie	nt information:			
Member Name		Date of Service		
Member ID Number		Code/Service Not Covered		
mentoe io runoe		Place of Service		
Please indicate the following provi	der information:			
Provider Name		CareSource Provider ID		
Provider NPI Number		Claim Number		
Provider Telephone Number ()		Requestor Name		
Select the most appropriate appea	I type:	Include required d	ocumentation:	
☐ Claim Appeal — An adverse decision a submitted claim or a denied claim for set CareSource member.		Appeal form     Supporting documentation     Original remittance advice  The provider/facility rendering services has 385 days from the date of to file a claim appeal.		
□ Clinical Appeal — A request to review a determination not to certify an admission, extension or stay, or other health care service conducted by a peer review who was not involved in any previous adverse determination inon-certification decision pertaining to the same episode or care.		Appeal form     Records supporting medical necessity     Original remittance advice  The provider/facility rendering service has 180 days from the date of service to file a clinical appeal.		
Corrected Claim — Any correction of the date of service, procedure/diagnosis code, incorrect unit count, location code and/or modifier to a previously processed claim.  Resubmit the entire claim with updated information as a Corrected Claim. If you disagree with the amount paid on a claim line, you will need to submit an appeal.		Please send Corrected Claims to: CareSource ATTN: Claims Dept. P.O. Box 3807 Dayton, OH 45401-3807		
Reason for appeal request:				
Mail or fax all information to:				
Claim Appeals Department P.O. Box 2008 Dayton, OH 45401-2008	Clinical Appeals Department P.O. Box 1947 Dayton, OH 45401-1947		Provider Claim Appeals Coordinator Fax Number: 937-531-2398	



#### Administrative Denials

#### Examples

- Late notification of inpatient admission
- Member not Eligible at time of request for authorization
- Late Retro Physician Denial
  - Needs to be submitted within 60 days from DOS
- Non-Covered Codes



### Peer to Peer Review

- Our members' health is always our number one priority. Upon request, CareSource will provide the clinical rationale or criteria used in making medical necessity determinations.
- You may request the information by calling or faxing the CareSource Medical Management Department. If you would like to discuss an adverse decision with physician reviewer, please call the Provider services line at 1-833-230-2168 within five business days of the determination.
- Our new line was created with a special team dedicated to answer live calls. You will be able to reach a live staff member anytime during normal business hours.



# Important Information

- Providers are responsible for verifying eligibility and benefits before providing services, except in an emergency situation.
- Failure to obtain a prior authorization may result in a denial for reimbursement.
- Authorization is not a guarantee of payment for services.
- CareSource does not require prior authorization for unlisted CPT codes.
  - However, we require a signed, clinical record be submitted with your claim to review the validity of the unlisted CPT code.
  - Claims submitted without clinical records for unlisted CPT codes will be denied.
  - Denials will be reconsidered through the claims dispute/appeal process with pertinent clinical records and should be sent directly to claims for consideration.
- Services beyond applicable benefit limit for members 20 years of age and under require a prior authorization.

# **Updates & Announcements**

Visit the Updates and Announcements page located on our website for frequent network notifications.

#### Updates may include:

- Medical, pharmacy and reimbursement policies
- Authorization requirements



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# Test YOUR Knowledge

- Is the Sterilization Consent Form utilized for a Hysterectomy?
- When is the Sterilization Consent Form not needed?
- What kind of procedures need authorization through NIA Magellan/RAD?
- How many different ways are there to submit a prior authorization?



# Test YOUR Knowledge Answers

- Is the sterilization Consent Form utilized for a Hysterectomy?
  - No, a Hysterectomy utilizes the Acknowledgement of Receipt of Hysterectomy Information
- When is the Sterilization Consent Form not Needed?
  - For a Partial Sterilization When the provider renders a patient sterile as a result of an illness or Injury.
- What kind of procedures need authorization through NIA Magellan/RAD?
- CT, MRI, PET Scans, Myocardial Perfusion Imaging, MUGA Scan, Echocardiology, Stress Echo
- How many different ways are there to submit a prior authorization?
  - 5 Email, Phone, Fax, Mail & Provider Portal